




Distributor's ARN/ RIA Code <sup>#</sup>	Sub-Broker's Name & Code	EUIN	FOLIO NO.	DATE
				DD / MM / YYYY

"By mentioning RIA code, I/We authorize you to share with the Investment Adviser the details of my/our transactions in the scheme(s) of Kotak Mahindra Mutual Fund.  
 "I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker."

<div style="writing-mode: vertical-rl; transform: rotate(180deg); font-weight: bold; font-size: small;">SIGNATURE(S)</div> <div style="text-align: center; margin-top: 10px;">  <b>Sole/First Holder</b> </div>	<div style="text-align: center; margin-top: 10px;">  <b>Second Holder</b> </div>	<div style="text-align: center; margin-top: 10px;">  <b>Third Holder</b> </div>
(To be signed by <b>All Unitholders</b> if mode of operation is 'Joint')		

**Upfront commission shall be paid directly by the investor to the AMFI registered distributors based on the investor's assessment of various factors including the service rendered by the distributor.**

**NAME OF SOLE/ FIRST HOLDER :** \_\_\_\_\_

**NAME OF SECOND HOLDER :** \_\_\_\_\_

**NAME OF THIRD HOLDER :** \_\_\_\_\_

PAN	Sole / First Holder	Second Holder	Third Holder
Aadhaar Number	Sole / First Holder	Second Holder	Third Holder

Note: Name shall be as per PAN/ Aadhaar card only

MOBILE NO. 

--	--	--	--	--	--	--	--	--	--

 This mobile no. will not get updated in the folio.

**FIRST APPLICANT'S OTHER DETAILS (Mandatory)**

A) Place of Birth \_\_\_\_\_ B) Country of Tax Residency other than India \_\_\_\_\_

C) Occupation Details [Please tick ]  Service  Private Sector  Public Sector  Government Services  Student  Business  
 Agriculture  Proprietorship  Professional  Retired  Housewife  Others \_\_\_\_\_ (please specify)

D) Gross Annual Income (Rs.) [Please tick ]  <1 Lac  1 - 5 Lacs  5 - 10 Lacs  10 - 25 Lacs  >25 Lacs  <1 Crore  >1 Crore

E) Net worth (Mandatory for Non-individual) Rs. \_\_\_\_\_ as on DD / MM / YYYY (Not older than 1 year)

F) Politically Exposed Person (PEP) Status (Also applicable for authorised signatories/ Promoters/ Karta/ Trustee/ Whole-time Directors)  
 I am PEP  I am Related to PEP  Not Applicable

**SCHEME 1**

Scheme \_\_\_\_\_ Option (Please ✓)  IDCW  Payout OR  Reinvestment  
 Plan \_\_\_\_\_ IDCW Frequency \_\_\_\_\_  
 Growth  
**Plan your Life Goal. You can assign this investment for your life's important milestones.**  Your Dream House  Child Education  Child Wedding  Retirement

Investment Mode  Cheque / DD / Pay order  RTGS/NEFT/Fund Transfer/ Online Transfer

Investment : Rs. \_\_\_\_\_ Chq./ DD No. \_\_\_\_\_ dated DD / MM / YYYY  
 drawn on \_\_\_\_\_ Name of Bank \_\_\_\_\_ Branch \_\_\_\_\_ City \_\_\_\_\_  
 (Please mention your folio on the face of your investment cheque)


**SCHEME 2**

Scheme \_\_\_\_\_ Option (Please ✓)  IDCW  Payout OR  Reinvestment  
 Plan \_\_\_\_\_ IDCW Frequency \_\_\_\_\_  
 Growth  
**Plan your Life Goal. You can assign this investment for your life's important milestones.**  Your Dream House  Child Education  Child Wedding  Retirement

Investment Mode  Cheque / DD / Pay order  RTGS/NEFT/Fund Transfer/ Online Transfer

Investment : Rs. \_\_\_\_\_ Chq./ DD No. \_\_\_\_\_ dated DD / MM / YYYY  
 drawn on \_\_\_\_\_ Name of Bank \_\_\_\_\_ Branch \_\_\_\_\_ City \_\_\_\_\_  
 (Please mention your folio on the face of your investment cheque)

**Important Alert:** In case there is any change to your KYC information please update the same by using the prescribed 'KYC Change Request Form' and submit the same at the Point of Service of any KYC Registration Agency.

	<b>ACKNOWLEDGEMENT SLIP</b> (To be filled by Applicant)	DATE <table border="1" style="display: inline-table; border-collapse: collapse;"><tr><td style="width: 20px; height: 20px;"> </td><td style="width: 20px; height: 20px;"> </td><td style="width: 20px; height: 20px;"> </td><td style="width: 20px; height: 20px;"> </td><td style="width: 20px; height: 20px;"> </td><td style="width: 20px; height: 20px;"> </td></tr></table>											
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 33%;">Scheme 1</th> <th style="width: 33%;">Scheme 2</th> <th style="width: 33%;">Scheme 3</th> </tr> </thead> <tbody> <tr> <td>Folio Number</td> <td>_____</td> <td>_____</td> </tr> <tr> <td>Scheme Name</td> <td>_____</td> <td>_____</td> </tr> <tr> <td>Amount</td> <td>_____</td> <td>_____</td> </tr> </tbody> </table>	Scheme 1	Scheme 2	Scheme 3	Folio Number	_____	_____	Scheme Name	_____	_____	Amount	_____	_____	<div style="border: 1px solid black; width: 150px; height: 80px; margin: 0 auto; display: flex; align-items: center; justify-content: center;">             Official Acceptance Point Stamp &amp; Sign           </div>
Scheme 1	Scheme 2	Scheme 3											
Folio Number	_____	_____											
Scheme Name	_____	_____											
Amount	_____	_____											
Please retain this Acknowledgement Slip for future reference													

**SCHEME 3**

Scheme \_\_\_\_\_ Option (Please ✓)  IDCW  Payout OR  Reinvestment  
 Plan \_\_\_\_\_ IDCW Frequency \_\_\_\_\_  
 Growth  
**Plan your Life Goal. You can assign this investment for your life's important milestones.**  Your Dream House  Child Education  Child Wedding  Retirement

Investment Mode  Cheque / DD / Pay order  RTGS/NEFT/Fund Transfer/ Online Transfer

Investment : Rs. \_\_\_\_\_ Chq./ DD No. \_\_\_\_\_ dated DD / MM / YYYY  
 drawn on \_\_\_\_\_ Name of Bank \_\_\_\_\_ Branch \_\_\_\_\_ City \_\_\_\_\_  
 (Please mention your folio on the face of your investment cheque)

**DEMAT ACCOUNT DETAILS**

In case you wish to hold units in demat, please fill this section. Please note that you can hold units in demat for all open ended schemes (except ETFs and IDCW options having IDCW frequency of less than a month).

NSDL		CDSL	
DP Name _____	_____	DP Name _____	_____
DP ID _____	Beneficiary Account No. _____	DP ID _____	Beneficiary Account No. _____

Please ensure that your demat account details mentioned above are along with supporting documents evidencing the accuracy of the demat account. Bank details of DP will overwrite the existing details.

**Declaration: I/We have read and understood the contents of the Statement of Additional Information/ Scheme Information Document/ offer Document(s). I/We have neither received nor been induced by any rebate or gifts, directly or indirectly in executing this transaction.**

**Consent by unit holders for collection, storage, using/sharing of Aadhaar data**

I/ We hereby provide my consent in accordance with Aadhaar Act, 2016 and regulations made thereunder, for (i) collecting, storing and usage (ii) validating/authenticating and (iii) updating my/ our Aadhaar number(s) in accordance with the Aadhaar Act, 2016 (and regulations made thereunder) and PMLA.

I/ We hereby provide my/our consent for sharing/disclose of the Aadhaar number(s) including demographic information with the asset management companies of SEBI registered mutual fund and their Registrar and Transfer Agent (RTA) for the purpose of updating the same in my/our folios with my PAN.

SIGNATURE(S)



Sole/First Holder



Second Holder



Third Holder

(To be signed by **All Unitholders** if mode of operation is **'Joint'**)**KOTAK MAHINDRA MUTUAL FUND**

6th Floor, Kotak Infiniti, Building No. 21, Infinity Park, Off W. E. Highway, Gen. A. K. Vaidya Marg, Malad (East), Mumbai – 400097

<https://kotakmf.com/>

1800 309 1490 (Toll-free), 022-6115 2100

[mutual@kotak.com](mailto:mutual@kotak.com)

**INSTRUCTIONS & INFORMATION:**

- Before investing please refer the SAI/ SID/ Offer Document of the respective scheme(s). If the Name given in the application is not matching PAN/Aadhaar card, application may be liable to get rejected or further transactions may be liable to get rejected.
- PERMANENT ACCOUNT NUMBER (PAN) (MANDATORY):**  
With effect from January 1, 2009, it is mandatory for all individual/ non individual investors to have PAN card to the application for investing in mutual fund Schemes.
- Know Your Client (KYC):**  
With reference to SEBI Circular MIRS/D/Cir-26/2011 dated December 23, 2011, investors may kindly note w.e.f. January 1, 2012, it is mandatory for all individual/ non individual investors to be KYC Compliant. Investors can approach any SEBI registered KRA for doing KYC.  
In the event of KYC Form being subsequently rejected for lack of information/ deficiency/insufficiency of mandatory documentation, the investment transaction will be cancelled and the amount may be redeemed at applicable NAV, subject to payment of exit load, wherever applicable.
- REDEMPTION/ SWITCH OUT OF UNITS WHERE THERE IS A LIEN MARKED ON UNITS:**  
If a lien has been marked on your units, please furnish along with your redemption/ switch out request, a 'Release of Lien' letter from the financier/ other person in whose favor the lien has been marked.
- ELECTRONIC PAYOUT FACILITY:**  
We shall directly credit your IDCW/ redemption payments into your registered bank account provided your complete bank details are available with the AMC.  
**Note:** Investor can register multiple bank account by submitting bank registration form, please read the scheme information document of the respective scheme.
- CHANGE IN AUTHORISED SIGNATURES:**  
If there is a change in the list of Authorised Signatories since the date of your purchase/ switch in and the same has not been intimated to us, please enclose a certified copy of your latest Board Resolution and Authorised Signatories List with your transaction request.
- EMPLOYEE UNIQUE IDENTIFICATION NUMBER (EUI):** SEBI has made it compulsory for every employee/relationship manager/ sales person of the distributor of mutual fund products to quote the EUI obtained by him/her from AMFI in the Application Form. EUI would assist in addressing any instance of mis-selling even if the employee/relationship manager/sales person later leaves the employment of the distributor. Hence, if your investments are routed through a

distributor please ensure that the EUI is correctly filled up in the Application Form.

However, if your distributor has not given you any advice pertaining to the investment, the EUI box may be left blank. In this case you are required to provide the declaration to this effect as given in the form.

- AADHAAR**  
With effect from February 15, 2018, it is mandatory for new investors (including joint holders & guardians of minors) to submit Aadhaar number along with the application for investing in mutual fund Schemes.
- MULTIPLE PURCHASE**  
  - If you are submitting a single cheque for investment in more than 1 schemes/ plan, then please ensure that your investment cheque is drawn in the name of "Kotak Mahindra Mutual Fund Collection".
  - In case, the cheque is drawn in the name of scheme 'A' and the investment is being made Scheme 'A' and Scheme 'B', then unit allotment shall be done only in the name of Scheme 'A'. In case the cheque is drawn in the name of Scheme 'A' and the investment is being made Scheme 'B' and Scheme 'C', then unit allotment shall be done in Scheme 'B' & Scheme 'C'.
- One Time Debit Mandate Form can be used for Systematic Purchase as well as Lump Sum Purchase

**CHECKLIST I (FOR PURCHASE)**

- Is the Purchase amount >= Minimum Investment/ Minimum Additional Investment amount?
- Have the following particulars been clearly filled in the Purchase / Additional Purchase Request?
  - Scheme, Plan & Option.
  - If IDCW Option is chosen, the choice between Payout or Re-investment of IDCW.
  - If IDCW Option is chosen, the IDCW Frequency sought.
  - Investment Amount. Does this match with the Cheque/DD Amount?
  - Instrument Details (Cheque DD No., Date of Instrument, Drawee Bank & Branch)
- Permanent Account Number (PAN) of all Applicants is mentioned and Acknowledgment copy of the KYC is enclosed.
- Is the investment Cheque /Demand Draft drawn in favour of Scheme / Plan and payable at the location where this request is being submitted?

**REGISTRAR : COMPUTER AGE MANAGEMENT SERVICES PVT. LTD.** No 178/10 M G R Salai, Nungambakkam, Chennai - 600 034

**CAMS INVESTOR SERVICE CENTERS**

**Ahmedabad:** 111-113, 1st Floor, Devpath Building, Off C G Road, Behind Lal Bungalow, Ellis Bridge, Ahmedabad - 380006. **Bangalore:** Trade Centre, 1st Floor, 45, Dikensen Road, (Next to Manipal Centre), Bangalore - 560042. **Bhubaneswar:** 3rd Floor, Plot No - 111, Varaha Complex Building, Station Square, Kharvel Nagar, Unit 3, Bhubaneswar - 751001. **Chandigarh:** Deepak Tower, SCO 154-155, 1st Floor, Sector 17-C, Chandigarh - 160017. **Chennai:** Ground Floor No.178/10, Kodambakkam High Road, Opp. Hotel Palmgrove, Nungambakkam, Chennai - 600034. **Cochin:** 1st Floor, K C Centre, Door No. 42/227-B, Chittoor Road, Opp. North Town Police Station, Kacheripadym, Cochin - 682018. **Coimbatore:** No 1334, Thadagam Road, Thirumoorthy Layout, R.S. Puram, Behind Venkateswara Bakery, Coimbatore - 641002. **Durgapur:** Plot No.3601, Nazrul Sarani, City Centre, Durgapur - 713216. **Goa:** Lawande Shamalkar Bhavan, 1st Floor, Office No.2, Next to Mahalakshmi Temple, Panaji, Goa - 403001. **Hyderabad:** 208, 2nd Floor, Jade Arcade, Paradise Circle, Secunderabad - 500003. **Indore:** 101, Shalimar Corporate Centre, 8-B, South tukogunj, Opp. Greenpark, Indore - 452001. **Jaipur:** R-7, Yudhisthir Marg, C-Scheme, Behind Ashok Nagar Police Station, 63/2, The Mall, Jaipur - 302001. **Kanpur:** 1st Floor, 106 to 108, City Centre, Phase II, 63/2, The Mall, Kanpur - 208001. **Kolkata:** Saket Building, 44 Park Street, 2nd Floor, Kolkata - 700016. **Lucknow:** Off No 4, 1st Floor, Centre Court Building, 3/c, 5 - Park Road, Hazratganj, Lucknow - 226001. **Ludhiana:** U/ GF, Prince Market, Green Field, Near Traffic Lights, Sarabha Nagar Pulli, Pakhowal Road, Ludhiana - 141002. **Madurai:** 1st Floor, 278, North Perumal Maistry Street (Nadar Lane), Madurai - 625 001. **Mangalore:** No. G 4 & G 5, Inland Monarch, Opp. Karnataka Bank, Kadri Main Road, Kadri, Mangalore - 575003. **Mumbai:** Rajabhadur Compound, Ground Floor, Opp Allahabad Bank, Behind ICICI Bank, 30, Mumbai Samachar Marg, Fort, Mumbai - 400023. **Nagpur:** 145 Lendra, New Ramdaspath, Nagpur - 440010. **New Delhi:** 7-E, 4th Floor, Deen Dayaal Research Institute Building, Swami Ram Tirath Nagar, Near Videocon Tower, Jhandewalan Extension, New Delhi - 110055. **Patna:** G-3, Ground Floor, Om Vihar Complex, SP Verma Road, Patna - 800001. **Pune:** Nirmitti Eminence, Off No. 6, 1st Floor, Opp Abhishek Hotel Mehendale Garage Road, Erandawane, Pune - 411004. **Surat:** Plot No.629, 2nd Floor, Office No.2-C/2-D, Mansukhlal Tower, Beside Seventh Day Hospital, Opp.Dhiraj Sons, Athwalines, Surat - 395001. **Vadodara:** 103 Aries Complex, BPC Road, Off R.C. Dutt Road, Alkapuri, Vadodara - 390007. **Vijayawada:** 40-1-68, Rao & Ratnam Complex, Near Chennupati Petrol Pump, M.G Road, Lobbipet, Vijayawada - 520010. **Visakhapatnam:** Door No 48-3-2, Flat No 2, 1st Floor, Siddhi Plaza, Near Visakha Library, Srinagar, Visakhapatnam-530 016.